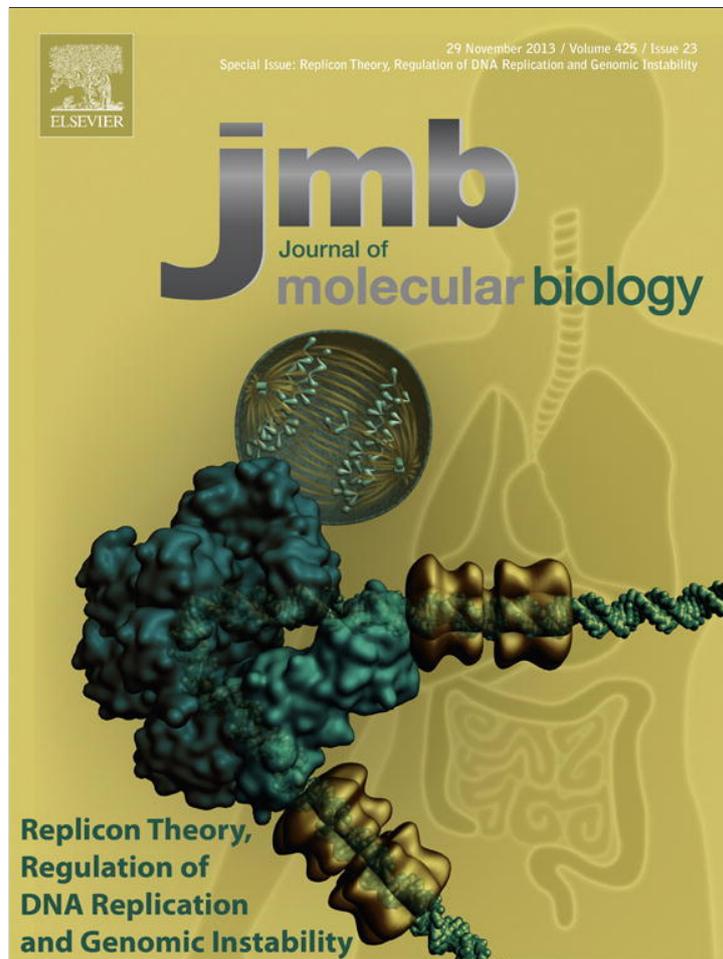


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A Personal Reflection on the Replicon Theory: From R1 Plasmid to Replication Timing Regulation in Human Cells

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Abstract

Fifty years after the Replicon Theory was originally presented, detailed mechanistic insight into prokaryotic replicons has been obtained and rapid progress is being made to elucidate the more complex regulatory mechanisms of replicon regulation in eukaryotic cells. Here, I present my personal perspectives on how studies of model replicons have contributed to our understanding of the basic mechanisms of DNA replication as well as the evolution of replication regulation in human cells. I will also discuss how replication regulation contributes to the stable maintenance of the genome and how disruption of replication regulation leads to human diseases.

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Introduction

The beauty of the Replicon Theory is its amazing simplicity. Only two loci, SGI (structural gene for initiator) and replicator, were hypothesized as critical elements for DNA replication and it was proposed that the initiator positively regulates initiation at the replicator.¹ Another important aspect of the Replicon Theory was the proposal that membranes play crucial roles in regulation of initiation of DNA replication. The latter issue, which has not yet been completely resolved, will not be dealt with in this article but will be briefly touched on later. Discovery of *oriC* and *dnaA* in the following years^{2,3} upheld the model as an accurate description of replication in bacteria, although biochemical evidence had to wait for another decade or more.⁴ Although it was evident from earlier studies that eukaryotic chromosomes contain multiple replicators, the nature of the initiator remained elusive until Orc was discovered in yeast.⁵ Now, the basic concept of the Replicon Theory has proven to apply for both prokaryotic and eukaryotic replicons.⁶

As in Arthur Kornberg's famous 10 commandments (VI. Depend on Viruses to Open Windows),⁷ viruses played crucial roles in elucidating mechanisms of DNA replication. Resolution and reconstitution studies saw first triumph when replication of

small single-stranded virus DNAs was reconstituted with purified enzymes.⁸ This approach was quickly adopted for studies of animal virus replication, which provided important insight into the DNA chain elongation stages of eukaryotic DNA replication.⁹

The enzymatic studies on initiation at the chromosome replicator had to wait for development of a soluble enzyme system, which was made possible only after careful fractionation of crude extracts and inclusion of a polymer (polyethylene glycol or polyvinyl alcohol), which presumably caused a molecular crowding effect.¹⁰ Once a system was available, the rest followed rapidly. In only a few years, initiation at *oriC* was reconstituted with purified proteins,¹¹ and the nature of *dnaA* (initiator)–*oriC* (replicator) interaction was resolved.^{4,12}

R1 Plasmid as a Model for Regulation of DNA Replication

The presence of the bacterial chromosome replicator, *oriC*, was suggested in the 1960s by marker transfer experiments in *Bacillus subtilis* and identified genetically in *Escherichia coli* in the early 70s.^{3,13} However, the molecular details were unknown until it was cloned as an autonomously replicating sequence supporting the episomal maintenance of

the linked antibiotic resistance gene.¹⁴ *oriC* was also isolated as a novel F' DNA segment capable of replicating on Hfr or as a DNA segment capable of bypassing lambda immunity.¹⁵⁻¹⁷

Plasmids are generally circular double-stranded DNA stably maintained in the cytoplasm of bacterial cells independent of the host chromosome. Plasmids can confer drug resistance or fertility to host cells but are normally non-essential for host maintenance. It has become obvious that each plasmid is an independent replicon composed of its own initiator and replicator. Since replication of most plasmid DNA depends almost entirely on the host replication

machinery, plasmids have served as excellent model systems for host chromosome replication.¹⁸

The R1 plasmid, belonging to the IncFII incompatibility group, encodes multiple drug resistance genes and is strictly maintained at one to two copies per host cell.¹⁹ The minimum segment required for replication contains a gene called RepA, which was likely to be an initiator for R1 plasmid replication.²⁰ We first used an *in vitro* replication system that depends on *de novo* protein synthesis.²¹ The replicator, *oriR*, was mapped using the *in vitro* trans-complementation assay.²² The purified RepA protein can bind specifically to the sequences within *oriR* and induces partial melting (or

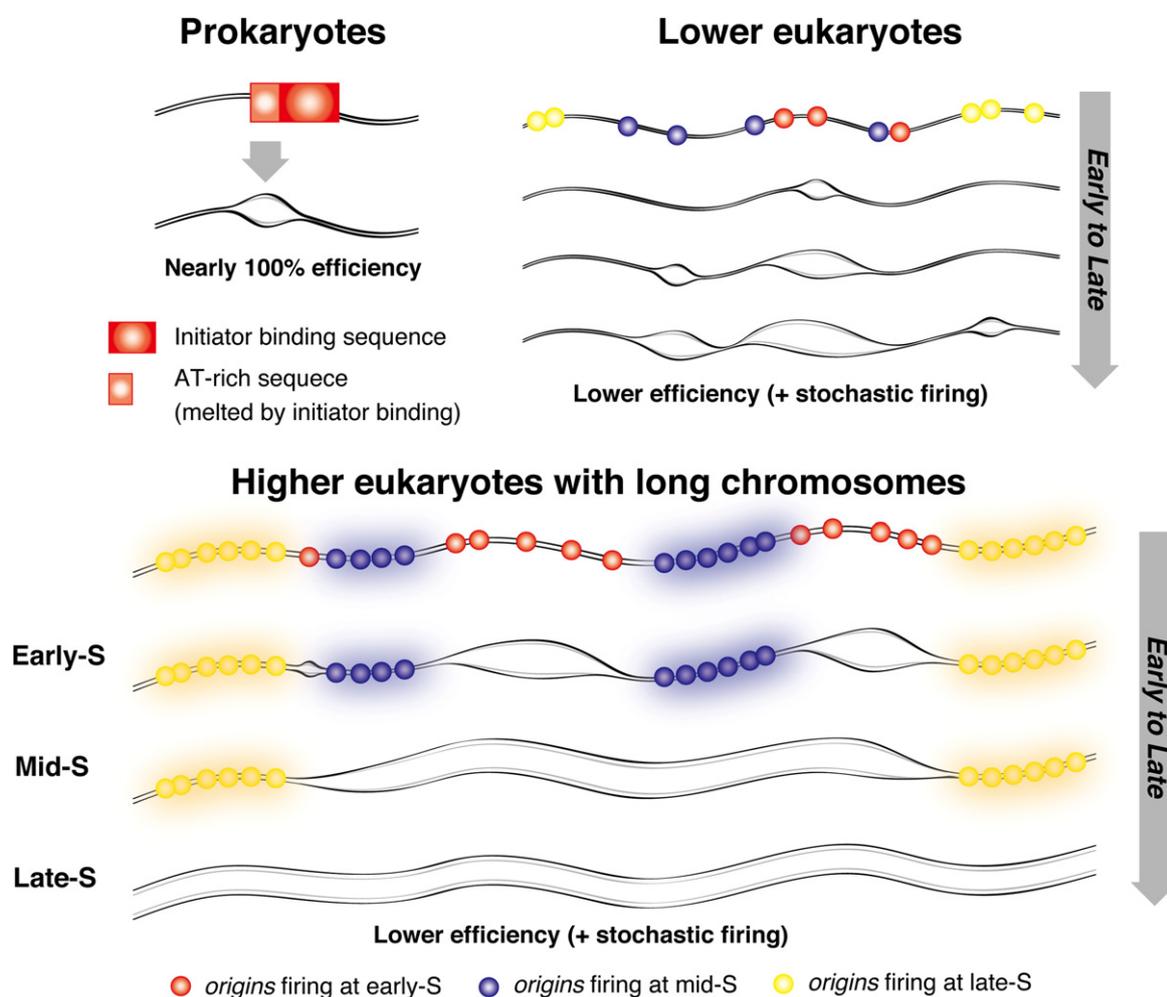


Fig. 1. Initiation at the replicators of various organisms. (Upper left) In prokaryotes, replicators (*origin*) are composed of the initiator binding sequence and the adjacent AT-rich sequences that are melted upon initiator binding. In most cases, there is only one replicator on each genome, and efficiency of initiation is nearly 100%. (Upper right) In lower eukaryotes (e.g., yeast), *origins* fire at different timing. Firing at each *origin* can be stochastic. The efficiency of firing at each *origin* is low and which *origin* is fired may vary from one cell to another. (Lower) In higher eukaryotes with longer chromosomes, the neighboring *origins* (shown by the same colors) are activated at a similar timing, forming replication-timing domains. Mid-S replication domains (containing blue *origins*) are sequestered from activation in early S and cannot be fired until mid-S. This temporal inhibition is mediated by the Rif1 protein. Late-S replication domain (containing yellow *origins*; mainly composed of the heterochromatin region) may be similarly sequestered until late-S, but what mediates the suppression is not known. The efficiency of firing of each *origin* is low and which *origins* in the same replication domain are activated may be under stochastic regulation.

Table 1. Once and only once replication of plasmid R1 and eukaryotic chromosomes

	R1 plasmid	Eukaryotic chromosome
Once and only once replication	During host cell cycle	During S phase
Proteins	RepA protein	Licensing factors (Cdt1-Mcm)
Unique initiation	<i>De novo</i> synthesis prior to initiation and coupling with translation	Licensing established only prior to each initiation
Inhibition of re-replication	Inactivation after action at <i>oriR</i> (mechanism unknown)	Unavailable after action (degradation, exclusion from nuclei, action of an inhibitor, etc.)

The mechanisms for once and only once replication of plasmid R1 and eukaryotic chromosomes are compared. (R1 replication can occur more than once during a host cell cycle, and thus it is not “once and only once” in a strict sense. However, we would like to emphasize the conceptual similarity between the two regulatory systems.)

structural change) of duplex DNA at the AT-rich segment within *oriR*.²³ Similar studies were conducted at the replicators of various plasmid replicons as well as at *oriC*, leading to the unified view on the prokaryotic mode of initiator–replicator interaction and function²⁴ (Fig. 1).

During the course of our study, it became evident to us that RepA protein needs to be synthesized each time before initiation. Furthermore, it can activate only the origin present on the same template from which it was synthesized.²² It is inactivated each time after it triggers initiation at the replicator. Further analyses showed the requirement of the *CIS* segment downstream of the *repA* gene carrying a *rho*-dependent transcriptional terminator for the *cis*-action.²⁵ The RepA trapping model was proposed in which the nascent RepA protein, synthesized on the paused mRNA, is first trapped at the entry sites present at the C-terminal coding segment of *repA*, followed by its one-dimensional diffusion to locate *oriR*.^{25,26} After RepA fires initiation at *oriR*, it is inactivated or made unavailable for reuse by some unknown mechanism. The *cis*-action of the RepA protein is the central system to ensure once and only once replication of the R1 plasmid, reminiscent of the origin licensing system in eukaryotes²⁷ (Table 1). Multiple mechanisms have been shown to operate to inhibit re-replication at *oriC*²⁸ as well as that of eukaryotic chromosomes,²⁹ pointing to the critical importance of the system to ensure “only once” replication in all organisms.

Although my comments were focused on plasmid R1, I should mention that studies of replication on other plasmids (ColE1, R6K, λ dv, F, pSC101, RK2, etc.) played very important and pioneering roles in dissecting the structure of replicators, initiator–replicator interactions, events during initiation, and molecular mechanisms of regulation.^{30–35}

Replicator–Initiator Interactions in Prokaryotes and Eukaryotes

As discussed in the previous section, prokaryotic replicator–initiator interactions are highly replicon specific, meaning each initiator will only interact with its appropriate replicator. In addition, the replicator–initiator interaction is sequence specific. Generally,

one base substitution abrogates the interaction, but once the initiator binds to the replicator and an initiation complex is assembled, initiation efficiency is almost 100%. In contrast, recognition of eukaryotic replicators by the eukaryotic initiator, Orc, appears to be much less specific. Although Orc in lower eukaryotes (e.g., budding yeast) was reported to bind to the cognate replicator in a sequence-specific manner,⁵ Orc from human binds to DNA in a sequence-independent manner *in vitro*.³⁶ Whether Orc binds to chromosomes with some preference is still under debate.³⁷ Genome-wide mapping of Orc and Mcm would provide some insight into this subject.³⁸ Further contrasting prokaryotic replicons, efficiency of firing at each replicator is fairly low in eukaryotes, perhaps even occurring in a stochastic manner to a certain extent^{39–41} (Fig. 1).

DnaA protein can induce localized melting (or structural changes detectable with single-stranded DNA-specific probes) within the *oriC*,⁴² and other prokaryotic initiators can exert similar effects within the cognate replicators. In archaea, whose replication machinery shares more similarity with that of eukaryotes than the prokaryotic counterpart, Orc binds specifically to the repeat sequences present in the origins and induce distortion of duplex DNA, which leads to localized unwinding at DUE (DNA unwinding element^{43–45}). However, similar effects have not been detected with Orc or pre-RC bound at eukaryotic origins, although RPA binding can be detected, which indirectly shows the generation of single-stranded DNA.⁴⁶ Mcm has been shown to bind to origins as a double hexamer encircling the duplex DNA,^{47,48} which is converted to two CMG helicase complexes⁴⁹ that encircle the single-stranded leading-strand template DNAs at each replication fork.⁵⁰ A recently developed *in vitro* replication system dependent on a pre-RC generated at a specific origin sequence⁵¹ holds great promise to clarify the structural change of template DNA during initiation steps.

Regulation of Origin Selection and Timing of the Firing

In eukaryotes, DNA replication starts at multiple sites along the chromosomes. The numbers of the

replicators (defined as the locations of pre-RC assembly) exceed those of actual initiation events occurring within S phase. Many replicators are dormant during normal S phase and may be used only in an emergency when normally used replicators are unavailable.^{52,53} Even the active replicators are used only in a fraction of cells, a fraction of time, reflecting the inefficiency of firing.^{40,54} Replicator choice and timing of their firing are coordinated with S phase progression and appear to be predetermined in the population of cells (Fig. 1). How do cells determine the program of DNA replication?

Replication stress activates a checkpoint that suppresses the firing of late origins and/or slows down ongoing replication fork through the actions of checkpoint sensor and effector kinases. Studies in yeasts showed that suppression of the replication checkpoint (by mutation in *Mec1* or *Rad53* kinase) leads to the precocious firing of otherwise late-firing or dormant replicators.^{55,56} This could be due to loss of inhibitory signals that suppress the firing of these inactive origins. Some of the targets of this checkpoint may be *Sld3* and *Dbf4*, which are crucial for conversion of the pre-RC into an active helicase complex at the fork.^{57,58} It was also proposed that the more efficient recruitment of pre-RC components may facilitate earlier firing of replicators.⁵⁹ It was reported in yeasts that replicators compete for limiting replication factors including *Cdc7-Dbf4* kinase and *Sld3* and overexpression of these factors promotes firing of late/dormant replicators in early S phase.^{60,61}

Other classes of proteins that affect the replication program are modifiers of chromatin including histone deacetylase and methylase. Among them, the *Rpd3* histone deacetylase affects the timing of origin firing genome-wide, since *tpd3Δ* mutation advances the firing of many late/dormant replicators.⁶² This suggests that chromatin structure affects replication initiation. *HBO1* histone acetylase facilitates pre-RC formation through interaction with *Cdt1*,⁶³ thus indirectly affecting firing efficiency. Recently, Forkhead transcription factors, *Fkh1* and *Fkh2*, were shown to confer early, efficient firing of sets of origins by inducing clustering of the origins through its interaction with *Orc* in budding yeast. The origin clustering would increase the effective concentrations of initiation factors around origins, facilitating initiation.⁶⁴ This appears to be a novel mechanism for marking early-firing origins.

Studies in yeast have shown that some sequences associated with late-firing origins can enforce late replication on otherwise early-firing origins.⁶⁵ Further dissection showed that telomere-like repeats are responsible for this suppression. Indeed, deletion of *Taz1*, a telomere binding factor, caused the subtelomere regions as well as some arm segments to replicate early, presumably by binding to the telomere-like sequences.⁶⁶

Cdc7 kinase plays a crucial role for initiation of DNA replication at each replicator by phosphorylating the *Mcm* subunit of the pre-RC.^{67,68} Although essential for normal growth, *Cdc7* function could be bypassed in budding yeast by mutations in *Mcm*; they are *mcm5* P83L mutation (*bob1*)^{69,70} and the internal deletion at the N-terminal segment of *mcm4*.⁷¹ These presumably change the conformation of the *Mcm* complex, relieving the requirement of *Cdc7* for initiation. Although similar *mcm* mutants that could bypass *Cdc7* in other species have not been reported, we found that checkpoint mutations including *cds1* and *mrc1* could restore the growth of *hsk1Δ*, the fission yeast homologue of *Cdc7*.^{72,73} Screening of bypass mutants for *hsk1Δ* led to isolation of *rif1Δ*, which very efficiently rescued growth of *hsk1Δ* cells.⁷⁴ *Rif1*, originally identified as a telomere binding protein in budding yeast, binds to telomere/subtelomere regions in fission yeast and suppresses the early replication of these segments. We found that the replication timing of arm segments is also dramatically affected in *rif1Δ* cells. Importantly, not only late/dormant origins were prematurely activated, but also early-firing origins were suppressed in *rif1Δ*, causing the firing of most origins to shift toward mid-S.⁷⁴

In higher eukaryotes, it has been known that clusters of replicators are fired at similar timing during S phase^{75,76} (Fig. 1). Recent genome-wide studies revealed the presence of "replication-timing domains" that are replicated at specific timing of S phase.^{77,78} Earlier studies have shown that replication timing of metazoan chromosomes is determined at a timepoint in early G1 phase termed TDP (timing decision point),⁷⁹ which was shown to be associated with chromatin repositioning. We and others found that mammalian *Rif1* protein plays a major role in setting up the replication-timing domains.^{80,81} It plays an essential role in generating the mid-S replication domains by binding to nuclease-resistant nuclear structures at late M/early G1 and presumably facilitating the formation of chromatin loop structures (*Rif1* loop; see graphical abstract⁸⁰). The origins present in these mid-S replication domains are somehow sequestered from firing until mid-S phase (Fig. 1 and graphical abstract). *Rif1* forms nuclear foci, enriched at the nuclear membrane and the nucleolar peripheries, coinciding with the locations of mid-S replication foci.^{81,82} *Rif1* may facilitate association of chromatin with the nuclear membrane to enforce mid-to-late replication.⁸² In fact, budding yeast *Rif1* protein localizes to the nuclear periphery dependent on a palmitoyltransferase,⁸³ suggesting that *Rif1* may be anchored to nuclear membrane through lipid modification. Thus, critical roles of membranes in regulation of DNA replication could be conserved from prokaryotes to eukaryotes.

It is interesting to note that two critical events for DNA replication in S phase, namely, the *origin*

licensing (helicase loading) and *mid-S replication domain licensing* (generation of special replication domains for mid-S), independently occur at late M/early G1 (see graphical abstract).

“Strict” and “Soft” Regulations of Eukaryotic DNA Replication

As discussed above, the inhibition of re-replication is strictly enforced in every species and aberrancy in this regulation can lead to increased genome instability and ultimately to various diseases, most notably cancer/tumor formation.^{84–86} In contrast to this strict regulation, once cells enter S phase, regulation at the level of active origin selection or firing time appears to be rather flexible, representing the “soft” regulation of eukaryotic DNA replication. Indeed, origin firing patterns can be simulated by a stochastic firing model if one accepts two assumptions: the difference in firing probability between replicators and the increase in firing probability during S phase.⁸⁷ The firing probability of each replicator may be affected by many genetic, epigenetic, and physiological parameters.

Although replication-timing regulation is largely lost in *rif1Δ* fission yeast cells, S phase progression is almost normal and the mutant is resistant to various genotoxic stresses including HU and MMS.⁷⁴ Although Chk1 is slightly activated,^{80,81} Rif1 depletion in HeLa cells similarly does not cause much effect on S phase progression in spite of major structural changes to replication-timing domains. These observations suggest that cells are quite tolerant to perturbation of replication timing. They are programmed to complete the S phase once it initiates the process of DNA replication, since obviously the abortion of DNA replication in the middle of the process would be devastating to cells' fate. *hsk1Δ* cells are not viable at 30 °C or below, but are viable at 37 °C, at which some dormant origins are fired. These results indicate that the origin firing program is plastic and could change under various physiological conditions.⁷³

Replication Fork Arrest and Maintenance of Genome Stability

Replication fork progression can be disturbed by many internal or external causes including reduced level of nucleotide supply, proteins bound to the template, unusual DNA structures, agents influencing the replication machinery, DNA damage, and so on. Stalled replication forks need to be detected and protected to avoid disintegration of the fork structure that could lead to DNA lesions and eventually to unwanted rearrangement or mutagenesis. In prokaryotes, there are only two replication forks on a single replicating chromosome, and stalling of one

fork would leave a large unreplicated segment. Thus, in the event of replication fork stalling, restoration of the active fork is essential.

Resolution and reconstitution studies on ϕ X174 phage DNA in the 1970s led to the discovery of a set of genetically unidentified proteins.⁸⁸ Proteins *i* and *n'* were among them, and their identities had not been discovered until the genes for these proteins were cloned. Protein *i* is encoded by *dnaT*⁸⁹ and *n'* was a novel gene designated *priA*.^{90,91} The physiological role of the ϕ X174-type primosome, a protein complex capable of duplex unwinding and primer RNA synthesis for lagging strand, was unclear but identification of protein *i* as DnaT (essential for inducible stable DNA replication, an *oriC*- and *dnaA*-independent mode of DNA replication of the *E. coli* chromosome induced by DNA damages) suggested a possibility that it plays a role in the alternative mode of chromosome replication. Indeed, PriA is also essential for the *oriC*-independent mode of chromosome replication.⁹² However, severe growth defects of *priAΔ* cells suggested essential roles of PriA during the normal course of replication.^{93–95} Purified PriA protein bound to D-loop-like structures or arrested fork-like structures *in vitro*.⁹⁶ *priAΔ* cells are extremely sensitive to fork stalling agents, and thus, it was proposed that PriA recognizes the stalled replication fork and promotes assembly of “primosome” for fork restart.^{95,97} In fact, the fork restart process has been reconstituted with purified proteins.⁹⁸

Detailed analyses of how PriA interacts with the stalled fork revealed the presence of a pocket structure (TT-pocket; Three-prime Terminus recognition pocket) that accommodates the 3'-terminus of DNA.^{99,100} PriA specifically recognizes the 3'-terminus of the nascent leading strand at the stalled replication fork, stabilizes it, and triggers reassembly of the active replication fork.¹⁰¹

On eukaryotic chromosomes, chances of fork stall are larger due to the presence of multiple replication forks at any given time during S phase. Therefore, the stalled fork needs to be swiftly dealt with to prevent fork collapse or aberrant fork structures,¹⁰² which would be most detrimental to the cell survival and maintenance of genome stability. Thus, eukaryotic replication machinery is equipped with many auxiliary factors that facilitate the stabilization and protection of the fork in cases where fork progression is blocked.¹⁰³ These factors not only protect the fork but also send out signals to promote any necessary cell cycle arrest or DNA damage repair. The fork could be restarted at the stalled site, but restart may not be as crucial as in prokaryotes since nearby dormant origins can be activated to finish any unreplicated segments.¹⁰⁴

In carcinogenesis, initial oncogenic stress may cause reduction in the level of cellular nucleotide precursors, leading to the blockage of replication fork progression.^{105–107} This can be detected by the

appearance of DNA damage foci. Cells in this precancerous state may be converted to a malignant state if the DNA damage response system fails and large-scale genomic instability is induced.¹⁰⁶ More recently, it was shown that error-prone DNA replication is induced upon recombination-dependent restart from the stalled fork in fission yeast, directly linking the fork stall to increased genomic instability.^{108,109} These reports underpin the importance of the cellular response to stalled replication forks. Indeed, mutations in various checkpoint/replication/repair factors have been reported to cause chromosome instability syndrome, most of which are cancer predisposed.^{110,111}

Concluding Remarks

It is amazing that one simple theory provided guidance for the research that followed it for 50 years, which has now proven that the basic concept of the theory is correct from *E. coli* to humans. The structures of bacterial replicators have long been dissected,¹¹² and those of lower eukaryotes have been analyzed in depth.¹¹³ Until now, however, the structures of mammalian replicators have been rather elusive,¹¹⁴ due to the lack of a consensus sequence and to the lack of a convenient system to assay origin function. Recent technological advances for mapping replication origins or initiator binding sites genome-wide have made it possible to catalogue all the potential replicators and deduce some common structures^{38,115,116}. It was indeed suggested that replicators may be generated at any nucleosome-free intergenic segment to which Orc has high affinity.¹¹⁷ Future studies will uncover the nature of the determinants for the replicators in higher eukaryotes.

Initiators have been identified in both prokaryotes and eukaryotes. The site-specific binding of DnaA and Orc determines where replication is initiated and their functions are regulated by bound nucleotides.^{5,118} High specificity of prokaryotic replicator–initiator interactions is associated with highly efficient initiation, while the specificity generally decreases with the increase of genome complexity and this probably contributes to more plastic and adaptive regulation of initiation events in higher eukaryotes. Attempt to determine the genome-wide distribution of Orc binding sites could provide important clues as to the determinants for recognition by Orc in mammalian cells.^{38,119}

Inhibition of re-replication appears to be of central importance for regulation of DNA replication in both prokaryotes and eukaryotes. Multiple mechanisms operate to ensure that re-replication is avoided.²⁹ In contrast, origin selection or timing determination in eukaryotes appears to be under more plastic regulation.⁶ The firing may occur stochastically, with firing probability of each origin being affected by genetic/epigenetic environment or physiological

conditions. This sort of plasticity may permit complex cellular systems to respond to varied physiological conditions in a robust and adaptive manner and to complete S phase once committed.

Genomic DNA is very vulnerable during the course of DNA replication, since chromatin proteins are removed and duplex DNA becomes single strand. Stalled replication forks can turn into catastrophic DNA lesions if not corrected immediately. Initial oncogenic stress was reported to reduce the level of nucleotide supply, causing replication stress reactions.^{105,106}

Stalled replication forks are quickly recognized in both prokaryotes and eukaryotes. Fork restart by PriA is crucial in prokaryotes,⁹⁵ whereas stabilization and protection of stalled forks by replication fork auxiliary factors may be important in eukaryotes to prevent fork collapse or fork inactivation.

The role of membranes in replication and partition remains elusive even after 50 years. However, recent studies suggest the importance of nuclear membranes for regulation of chromosome dynamics including replication, repair, and transcription.^{120,121} After all these years, we are still being guided by the great Replicon Theory.

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